

# Week 13

## — Paper Reading

### An Embarrassingly Simple Baseline for Imbalanced Semi-Supervised Learning

Challenge: Imbalanced SSL (both  $L$  and  $U$  exhibit class-imbalanced distribution)  
the issue of confirmation bias

Problem:  $K$ -way classification problem

$$D_L := \{ (x_i, y_i) \}_{i=1}^N$$



$N_k$ : number of labeled sample for class  $k$

$$N = \sum_{k=1}^K N_k$$

Imbalanced ratio  $\delta_l = \frac{N_1}{N_K}$

$$N_1 > N_2 > \dots > N_K$$

$$D_U := \{ u_j \}_{j=1}^M$$



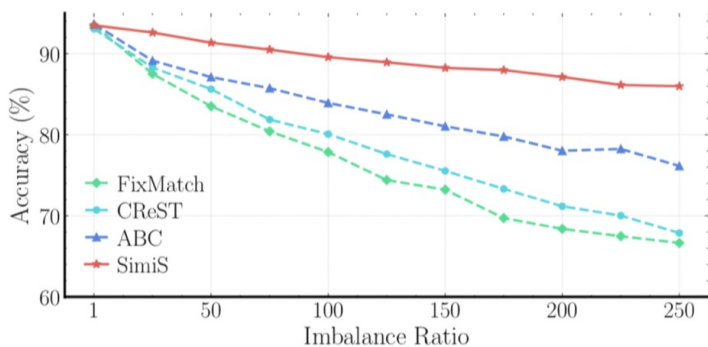
class distribution is usually  
unknown in practice

$$\delta_u > 0$$

(also consider reversely ordered  
class distribution in experiment)

### SimiS: A Simple Baseline for Imbalanced SSL

the test accuracy of imbalanced algorithms increases as  $\delta_l$  decreases.



Would simply reducing the dataset imbalance be an effective way to improve the performance for imbalanced SSL?

We supplement the infrequent classes with more pseudo-labels and frequent classes with less pseudo-labels after each training epoch.

$$D_L \rightarrow P_L(y) \in \mathbb{R}^K, \quad P_L(y=k) = \frac{N_k}{N} \quad D_U \rightarrow P_U$$

1) generate pseudo-labels and corresponding confidence scores for all  $U$

$$\hat{y}_j = \arg \max_{\theta} f_{\theta}(u_j), \quad c_j = \max_{\theta} f_{\theta}(u_j)$$

$\in \{1, 2, \dots, K\}$

(2) the number of pseudo-labels added for class  $k$

$$S_k = \beta (P_L(\arg \max_k N_k) - P_L(k))$$

$\frac{N}{K}$  hyper-parameter      class marginal of the most frequent class

(3) Sample the first  $S_k$  most confident pseudo-labels to expand the labeled set and start the next training epoch with expanded set.



① outperform existing methods

② show great robustness against a wide range of imbalance ratio and unlabeled data distribution.

Experiment: construct long-tailed  $N_K = M_1 \delta_1^{\frac{k-1}{K-1}}$ ,  $M_k = M_1 \delta_u^{\frac{k-1}{K-1}}$

Dataset	CIFAR10-LT						CIFAR100-LT			STL10-LT	
	$N_1$	1500	3000	4000	500	4000	150	300	300	150	M≈100k
$M_1$	3000	30	3000	4000	40	4000	300	30	300	10	NA
$\gamma_l$	100	100	150	100	100	150	10	10	15	10	20
$\gamma_u$	100	1/100	150	100	1/100	150	10	1/10	15	10	NA
Supervised	63.62±0.40	63.62±0.40	59.82±0.32	47.62±0.87	47.62±0.87	43.88±1.61	48.01±0.45	48.01±0.45	45.37±0.54	46.85±1.65	41.6±0.71
FixMatch [31]	76.49±0.72	68.92±0.79	72.15±0.94	73.14±1.03	62.52±0.93	65.68±0.67	57.76±0.39	57.56±0.47	53.97±0.17	66.56±1.02	56.29±0.46
w/ DARP [19]	77.37±0.50	70.36±1.55	74.02±0.06	71.12±0.82	62.16±1.10	65.63±0.63	56.14±0.46	56.40±0.21	52.81±0.50	63.74±0.54	56.03±1.81
w/ CRcST [38]	79.90±0.33	86.71±0.39	74.70±0.53	77.69±0.71	76.37±3.84	68.20±0.33	58.56±0.34	60.07±0.24	55.43±0.17	65.52±1.01	61.38±1.16
w/ CRcST+ [38]	79.60±0.06	73.98±1.34	75.39±0.42	78.70±0.40	63.72±0.87	72.73±2.26	58.19±0.37	59.53±0.34	55.39±0.23	66.27±0.59	62.63±1.69
w/ ABC [25]	84.01±0.15	83.45±0.54	80.94±0.85	79.40±0.88	79.21±0.44	69.50±1.86	58.25±0.20	59.24±0.17	55.38±0.47	70.64±0.89	65.68±0.36
w/ DASO [28]	78.87±0.80	74.47±0.60	74.92±0.36	73.63±0.46	65.08±0.00	67.13±1.06	58.16±0.21	59.25±0.23	54.82±0.53	69.31±0.91	62.45±2.23
w/ CoSSL [12]	82.35±0.79	77.47±0.56	79.00±0.41	75.82±0.61	73.26±0.78	70.56±0.55	58.00±0.39	57.77±0.31	55.49±0.43	71.44±0.45	69.01±0.80
w/ SAW [22]	80.93±0.31	76.73±0.66	77.67±0.14	75.20±1.01	70.04±1.58	68.51±0.16	57.55±0.45	58.12±0.34	54.00±0.65	69.30±0.69	65.80±1.22
w/ Adsh [14]	78.43±0.54	70.45±0.70	73.96±0.47	75.97±0.68	65.64±1.82	66.55±2.94	58.65±0.36	55.49±0.55	54.55±0.40	69.35±1.12	64.82±1.41
w/ DePL [34]	80.65±0.52	74.53±0.61	76.98±1.10	69.67±1.34	71.95±2.54	57.08±0.29	57.31±0.55	53.89±0.44	69.46±0.62	65.93±1.22	
w/ SimiS	<b>88.31±0.08</b>	<b>87.96±0.29</b>	<b>86.27±0.23</b>	<b>83.51±0.25</b>	<b>82.83±0.40</b>	<b>81.51±0.35</b>	<b>68.45±0.31</b>	<b>68.79±0.03</b>	<b>66.25±0.09</b>	<b>77.48±0.31</b>	<b>73.69±0.42</b>
w/ SimiS + LA	<b>89.78±0.23</b>	<b>90.99±0.08</b>	<b>88.36±0.26</b>	<b>85.69±0.44</b>	<b>86.15±0.53</b>	<b>83.75±0.16</b>	<b>71.28±0.06</b>	<b>71.47±0.12</b>	<b>69.64±0.11</b>	<b>79.10±0.15</b>	<b>75.85±0.08</b>
ReMixMatch [2]	78.86±0.77	75.05±0.07	74.61±0.82	74.76±0.52	70.80±0.05	71.10±0.95	60.78±0.05	61.08±0.33	57.54±0.34	75.33±0.37	67.43±0.86
w/ DARP [19]	79.50±0.53	76.04±0.89	75.26±0.10	76.47±0.40	71.20±0.58	66.15±0.88	62.12±0.40	62.26±0.39	58.63±0.51	73.51±0.11	67.72±1.84
w/ CRcST [38]	79.12±2.12	<b>88.45±0.17</b>	73.13±2.60	72.12±0.93	76.68±0.38	66.74±0.57	61.18±0.42	65.79±0.55	58.89±0.13	72.38±0.58	67.79±1.71
w/ CRcST+ [38]	81.02±0.20	62.70±0.26	76.85±0.61	79.76±0.55	58.28±0.55	73.76±1.18	64.21±0.34	58.68±0.49	61.84±0.33	63.94±0.55	58.91±1.84
w/ ABC [25]	82.27±0.89	80.62±0.88	79.43±0.31	77.61±0.89	73.85±0.52	69.55±2.06	62.86±0.12	64.14±0.19	59.21±0.22	74.10±0.60	70.26±0.57
w/ DASO [28]	75.04±1.58	81.89±0.54	68.83±0.22	72.00±2.07	77.44±1.28	66.37±1.69	62.12±0.24	62.80±0.69	58.97±0.29	75.74±0.13	73.49±0.32
w/ CoSSL [12]	79.31±0.23	76.26±0.60	75.63±0.24	75.36±0.64	72.44±0.37	72.91±0.26	62.19±0.55	61.78±0.45	58.79±0.75	73.48±0.20	68.44±0.18
w/ SimiS	<b>86.91±0.23</b>	<b>85.94±0.08</b>	<b>81.67±0.19</b>	<b>84.62±0.23</b>	<b>79.68±0.38</b>	<b>82.50±0.35</b>	<b>67.41±0.45</b>	<b>69.29±0.42</b>	<b>64.75±0.41</b>	<b>80.84±0.41</b>	<b>79.20±0.29</b>
w/ SimiS + LA	<b>87.34±0.39</b>	<b>86.94±0.18</b>	<b>82.67±0.37</b>	<b>85.60±0.18</b>	<b>79.95±0.18</b>	<b>82.95±0.20</b>	<b>68.42±0.23</b>	<b>70.30±0.14</b>	<b>65.92±0.08</b>	<b>81.91±0.08</b>	<b>79.62±0.18</b>

most of the baseline methods present a degraded performance

1) larger  $\delta_c$   
2)  $\delta_c = 1/\delta_u$

Perform consistently across settings with different class imbalance ratios.

Intuitively, there are more samples in the  $U$  of the tailed classes in  $L$  when  $\delta_c = 1/\alpha_u$  and the model would learn better if these samples could be utilized correctly during training. (SimiS)

Robustness to Various Imbalance Distributions:

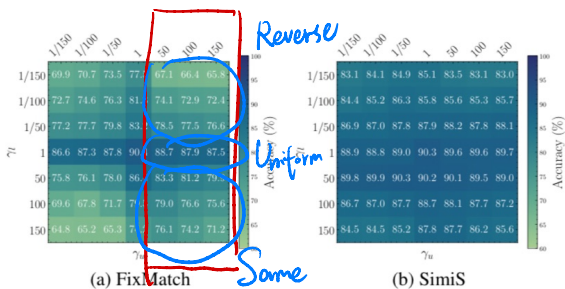


Figure 2. Accuracy of (a) FixMatch and (b) SimiS on the balanced test set of CIFAR10, trained with different combination of  $\gamma_l$  and  $\gamma_u$ . SimiS performs robustly across different training distribution.

FixMatch:  $\delta_c = \delta_u$

SimiS = robust

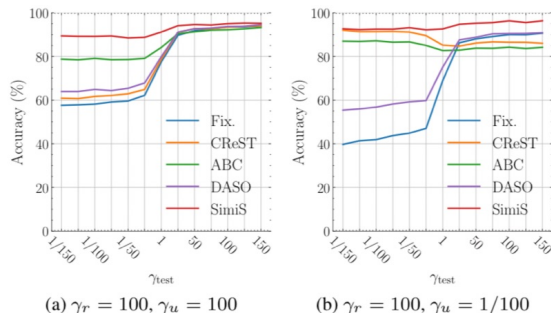


Figure 3. Accuracy on test set of different imbalance ratios. We train FixMatch with different algorithms on CIFAR10-LT using (a)  $\gamma_r = 100, \gamma_u = 100$ , and (b)  $\gamma_r = 100, \gamma_u = 1/100$ . SimiS performs robustly across different testing distribution.

Imbalanced test distribution

More Analysis:

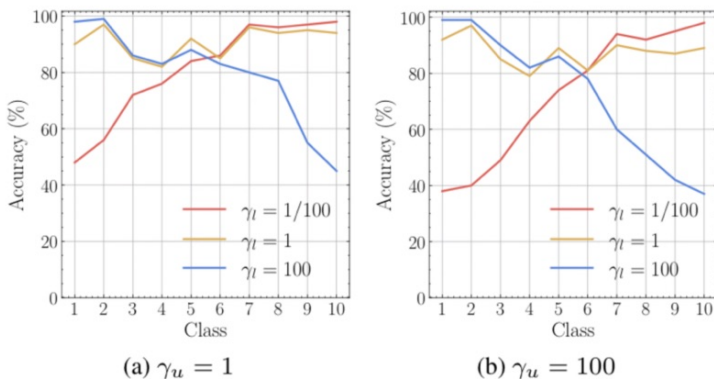


Figure 5. Class-wise accuracy on test set of CIFAR10-LT using FixMatch. We train FixMatch with different imbalance ratios in label set, i.e.,  $\{1/100, 1, 100\}$ , and imbalance ratio in unlabeled set (a)  $\gamma_u = 1$ ; and (b)  $\gamma_u = 100$ . The labeled set and unlabeled set have fixed size  $N = 3420$  and  $M = 7440$  respectively.

The necessity and sufficiency of reducing the imbalance ratio in the labeled set during training.